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Arkadi; M. Grill; A. Puig-Molina; LARS LUNDEGAARD; R. TIRUVALAM; Concepción Heydorn, P.; Corma Canós, A.... (2014). Influence of lattice stability on hydrothermal deactivation of Cu-ZSM-5 and Cu-IM-5 zeolites for selective catalytic reduction of NO<sub>x</sub> by NH<sub>3</sub>. *Journal of Catalysis*. 309:477-490. doi:10.1016/j.jcat.2013.10.017.



The final publication is available at

<http://dx.doi.org/10.1016/j.jcat.2013.10.017>

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Additional Information

# **Influence of lattice stability on hydrothermal deactivation of Cu-ZSM-5 and Cu-IM-5 zeolites for selective catalytic reduction of NO<sub>x</sub> by NH<sub>3</sub>**

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**Keywords:** NH<sub>3</sub>-SCR; copper migration; Cu-IM-5; Cu-ZSM-5; dealumination; framework stability; hydrothermal deactivation; mechanism; selective catalytic reduction; zeolites

## **Abstract**

Copper exchanged zeolites are well known catalysts for the selective catalytic reduction of nitrogen oxides by ammonia (NH<sub>3</sub>-SCR). To determine the influence of framework stability on catalyst deactivation two zeolite frameworks, MFI and IMF, were used in this study. The two frameworks have similar window size and connectivities, but the IMF structure is less susceptible towards dealumination. In each zeolite copper was introduced by aqueous exchange and the catalytic performance in the NH<sub>3</sub>-SCR reaction compared before and after hydrothermal ageing at 650 and 750 °C. The changes in state and local environment of Cu, and the degradation of the zeolite structure were characterized using ammonia capacity measurements, solid state nuclear magnetic resonance, X-ray fine structure spectroscopy, temperature programmed reduction with hydrogen, infrared spectroscopy monitoring of adsorbed NO and CO probe molecules as well as the combination of transmission electron microscopy and energy dispersive X-ray spectroscopy to follow copper migration. The catalytic performance of Cu-ZSM-5 and Cu-IM-5 is similar in the fresh state, but after hydrothermal ageing the deactivation of Cu-IM-5 is less severe compared to Cu-ZSM-5 as a consequence of the higher framework stability. The changes in catalyst structure that occur during ageing are i) partial dealumination of the zeolite, ii) reversible migration of copper species, and iii) irreversible formation of catalytically inactive and stable Cu-Al clusters, which have some resemblance to CuAl<sub>2</sub>O<sub>4</sub>, but without the symmetry of Cu in this spinel structure. As the Cu-Al clusters only form once Al is detached from the framework, the stability of Al in the zeolite framework is proposed to dictate the overall hydrothermal deactivation behavior of Cu-ZSM-5 and Cu-IM-5 in the NH<sub>3</sub>-SCR reaction.

## 37 **1. Introduction**

38  
39 Selective catalytic reduction (SCR) of nitrogen oxides ( $\text{NO}_x$ ) with  $\text{NH}_3$  is an important technology  
40 for reducing environmentally harmful  $\text{NO}_x$  in the exhaust gases from vehicles, ships, and power  
41 plants to the emission levels required by legislation. As legislation is becoming more stringent  
42 further development of SCR-technologies and a better understanding of the catalytic materials are  
43 required.

44 Metal substituted zeolites, in particular with Cu and Fe, are well known catalysts for SCR of  $\text{NO}_x$   
45 with  $\text{NH}_3$ . They have recently been extensively reviewed [1] and are currently used in automotive  
46 applications. The most investigated zeolite material is Cu-ZSM-5 ever since Iwamoto and co-  
47 workers discovered its catalytic potential for direct and continuous decomposition of NO in 1986  
48 [2]. Cu-zeolites are in general more active at lower temperatures, but also deactivate faster, than the  
49 Fe-equivalents.

50 Compared to other applications, use in the automotive sector requires high activity and selectivity in  
51 between 180 and 500 °C, together with a high hydrothermal resistance at even higher temperatures  
52 that can be reached when up-stream particulate filters are actively regenerated. Even though many  
53 zeolites are quite stable at elevated temperatures, the stability of materials like Cu-ZSM-5 is still an  
54 issue. To improve the stability of the metal-substituted zeolites, a better understanding of the  
55 mechanisms leading to catalyst degradation is required.

56 Several theories have been presented on the deactivation mechanism of metal loaded zeolites. For  
57 Fe-zeolites, it was recently concluded that the deactivation is related to the stability of iron species  
58 within the zeolite, and not to the zeolite framework itself [3,4]. For Cu-zeolites, the situation seems  
59 to be more complicated, and the degradation of the zeolite structure might also play a role for these  
60 systems. Grinsted et al. and Cheng et al. relate the deactivation of Cu-ZSM-5 to dealumination of  
61 the ZSM-5 framework [5,6], which is supported by Palella et al., who describe the formation of  
62 extra-framework  $\text{Al}^{3+}$  together with a loss of monovalent copper ions when Cu-ZSM-5 is treated  
63 under wet conditions already at 550 °C [7]. Adding to this, Yan et al. discuss the simultaneous  
64 formation of CuO and  $\text{Al}_2\text{O}_3$  phases, which upon severe dealumination irreversibly transform into  
65  $\text{CuAl}_2\text{O}_4$  [8]. This is corroborated by Kwak et al. who find that Cu and Al in Cu-ZSM-5, Cu- $\beta$ , and  
66 Cu-Y show a stronger interaction after high temperature hydrothermal treatment [9]. This is in line  
67 with the conclusion that copper species sinter to form CuO and perhaps  $\text{Cu}_2\text{O}$ , which leads to local  
68 destruction of the framework [10] followed by formation of a copper aluminate-like phases. In  
69 contrast, Tanabe et al. report that copper migrates to inaccessible sites but remains atomically

70 dispersed [11], and in this case the stability of the zeolite framework should be less important. When  
71 copper is loaded into zeolite structures containing small pores, such as the CHA or AEI topologies,  
72 a more stable activity is observed, possibly because of the limited migration of detached aluminum  
73 moieties inside the pores [12,13]. Still, the deactivation mechanism of Cu-zeolites, in particular how  
74 dealumination and migration of Cu and Al occur, is not clear.

75 The aim of this study is to disentangle the contributions from dealumination and copper migration  
76 to deactivation and to clarify the outcome of high temperature hydrothermal ageing. In order to  
77 elucidate the deactivation mechanism, two zeolites, namely ZSM-5 and IM-5 (MFI and IMF  
78 topologies respectively), were selected. Both zeolites contain three-dimensional pore systems and  
79 10-member-ring windows, but exhibit different stabilities towards dealumination [14,15]. This  
80 allows us to compare the aluminum stability in similar zeolite structures and to study its influence  
81 on deactivation of Cu-zeolites. To achieve this, the results from framework characterization, using  
82 N<sub>2</sub>-physisorption, NH<sub>3</sub>-TPD, and <sup>27</sup>Al-MAS-NMR, and copper speciation characterization, obtained  
83 by XAFS, NO+CO-FTIR and H<sub>2</sub>-TPR before and after hydrothermal ageing of Cu-zeolites at 650  
84 and 750 °C, are combined and correlated with catalytic performance in the NH<sub>3</sub>-SCR reaction.  
85 Additionally, the migration of copper is studied from the SCR performance of different physical  
86 mixtures of copper containing phases with Brønsted acidic H-ZSM-5 in combination with  
87 TEM/EDS.

88

## 89 **2. Experimental**

90

### 91 **2.1 Synthesis of Cu-zeolites**

92

93 For the synthesis of the IM-5 zeolite, a similar approach as in [14,16] was adapted, using a gel  
94 composition including IM-5 seeds to avoid contamination of impurity phases. The synthesis gel  
95 composition was 60 SiO<sub>2</sub> : 1.5 Al<sub>2</sub>O<sub>3</sub> : 17 Na<sub>2</sub>O : 6 NaBr : 10 R : 2400 H<sub>2</sub>O, where R is the  
96 template 1,5-bis(N-methylpyrrolidinium)pentane (1,5-MPP) prepared prior to zeolite synthesis. In a  
97 typical synthesis 18.01 g 1,5-MPP was dissolved in 114.50 g H<sub>2</sub>O followed by addition of 15.41 g Si-  
98 aerosol (Degussa 200). In a second container 2.78 g NaBr (98-100.5 %, Scharlau) and 6.12 g NaOH  
99 (> 98 %, Scharlau) were dissolved in 68.12 g H<sub>2</sub>O. Afterwards 0.36 g Al-foil was added and the  
100 mixture was left under stirring for 16 h to digest. This clear solution was then added slowly to the  
101 first solution under stirring and left under mechanical stirring for 30 min. Finally 0.81 g of IM-5

102 seeds suspended in 12.00 g H<sub>2</sub>O were added. The zeolite synthesis gel was then transferred to  
103 Teflon lined autoclaves, sealed and heated to 175 °C where they were kept for seven days. The  
104 zeolite product was recovered by suction filtration and calcined at 580 °C for three hours after  
105 drying. To convert the zeolite into the NH<sub>4</sub>-form it was ion exchanged two times in 2.5 M NH<sub>4</sub>Cl  
106 for two hours at 80 °C under reflux in a solid to liquid ratio of 10 (w/w).  
107 The NH<sub>4</sub>-ZSM-5 was obtained from a commercial supplier.  
108 To introduce Cu into the zeolites, the NH<sub>4</sub>-form of the IM-5 and ZSM-5 zeolites were ion  
109 exchanged with Cu(CH<sub>3</sub>COO)<sub>2</sub>·H<sub>2</sub>O (> 99.0 %, SigmaAldrich) with different molarities and  
110 consecutive times, to obtain Cu/Al ratios above 0.5, at room temperature using 250 mL/g under  
111 stirring for 24 hours to obtain varying copper loadings on the two zeolites (see Table 1). After ion-  
112 exchange the samples were calcined at 500 °C for 3 hours. From these series we selected three  
113 samples of each zeolite containing a comparable low, medium, and high Cu-loading, with Cu/Al  
114 ratios given in Table 1. Each sample was then divided into three portions. The first portion was used  
115 without further treatment, the second and third portions were aged in the exhaust of a diesel burner  
116 containing ca. 10 % H<sub>2</sub>O and 8 % O<sub>2</sub> for 16 hours at 650 °C and 750 °C, respectively.  
117 Reference samples were prepared by physically mixing CuO (Riedel de-Haën, > 99 %), Cu<sub>2</sub>O  
118 (Sigma-Aldrich, < 5 μm, 97 %) or CuAl<sub>2</sub>O<sub>4</sub> with the parent H-ZSM-5 zeolite in a mortar and  
119 grinding it for 10 min. The CuAl<sub>2</sub>O<sub>4</sub> was prepared by heating a mixture of CuNO<sub>3</sub>·3 H<sub>2</sub>O and  
120 pseudoboehmite (Al:Cu molar ratio 2:1), at 800 °C for 3 hours.

121

122

123

## 124 2.2 Catalytic testing and hydrothermal ageing

125

126 The selective catalytic reduction of NO by ammonia (NH<sub>3</sub>-SCR) was carried out in a fixed bed  
127 quartz reactor (i.d. 4 mm) using 40 mg catalyst (150-300 μm fraction) diluted with 180 mg SiC,  
128 connected to a Gaset CX4000 FTIR analyzer (8 cm<sup>-1</sup> resolution), for analysis of NO, NO<sub>2</sub>, NH<sub>3</sub>,  
129 N<sub>2</sub>O and H<sub>2</sub>O. The reactant gas composition for NH<sub>3</sub>-SCR consisted of 500 ppm NO (from 4000  
130 ppm NO, CRYSTAL mixture, Air Liquide), 530 ppm NH<sub>3</sub> (from 4000 ppm NH<sub>3</sub>, CRYSTAL  
131 mixture, Air Liquide), 10 % O<sub>2</sub> (from Synthetic air, Air Liquide), and 5 % H<sub>2</sub>O (from demineralized  
132 H<sub>2</sub>O), balanced with N<sub>2</sub> (Alphagaz, N<sub>2</sub> ≥ 99.999 %, H<sub>2</sub>O ≤ 3 ppm, O<sub>2</sub> ≤ 2 ppm, C<sub>n</sub>H<sub>m</sub> ≤ 0.5 ppm);  
133 the small excess of NH<sub>3</sub> ensures that a complete conversion of NO could be reached. The

134 concentrations of O<sub>2</sub> and H<sub>2</sub>O correspond to those under typical operating conditions. The total flow  
135 rate was maintained at 285 NmL/min. Before the measurements, the catalysts were heated in the  
136 reaction gas mixture for 1 h at 550 °C, unless otherwise stated. The activity was measured by  
137 following the NO<sub>x</sub> conversion during cooling from 550 to 170 °C, at 2 °C/min. The hydrothermal  
138 ageing was carried out for 16 h at either 650 °C or 750 °C in real diesel exhaust containing  
139 approximately 10 % of H<sub>2</sub>O and 8 % O<sub>2</sub>.

140

### 141 2.3 Zeolite Characterization

142

143 The zeolite phases and crystallinity were investigated by X-ray diffraction on a multisample  
144 PANalytical X'Pert diffractometer using the characteristic Cu K<sub>α</sub> wavelength (1.542 Å).  
145 Sample composition was measured after acid-digestion by ICP-OES on a Varian 715-ES  
146 instrument.

147 The micropore volume was analyzed by nitrogen physisorption and performed at liquid nitrogen  
148 temperature using a Micromeritics ASAP 2020 instrument. All samples were outgassed in vacuum  
149 at 300 °C for 16 h prior to measurements. The t-plot method (using a maximum statistical layer  
150 thickness of 5.5 Å) was used to derive micropore volumes.

151 The morphology and crystal size were determined by SEM microscopy, recorded on a JEOL JSM-  
152 6700F scanning electron microscope using an electron energy of 15 keV.

153 The acidity of the parent zeolite materials was measured by NH<sub>3</sub>-TPD using 100 mg of sample  
154 (150-300 μm sieve fraction) loaded into a fixed bed quartz reactor (i.d. 4 mm). First the sample was  
155 treated for 1 h at 300 °C in a flow of dry nitrogen (100 NmL/min). Afterwards the sample was  
156 saturated with ammonia (4000 ppm NH<sub>3</sub> in N<sub>2</sub>, flow 100 NmL/min) at 150 °C for 1h, and the  
157 weakly adsorbed ammonia was removed in a flow of N<sub>2</sub> for 4 h at the same temperature. Finally the  
158 desorption of ammonia was measured using a CX4000 Gaset FTIR gas analyzer (8 cm<sup>-1</sup>  
159 resolution) when the temperature was ramped up to 600 °C at 10°/min.

160 Aluminum coordination and environment were measured by <sup>27</sup>Al-MAS-NMR. Spectra were  
161 recorded on similar sample amounts at 104.2 MHz with a spinning rate of 10 kHz using pulses of 1  
162 μs, corresponding to a flip magnetization angle < π/12 radians with a 1 s repetition time. <sup>27</sup>Al  
163 chemical shifts are reported relative to Al(H<sub>2</sub>O)<sub>6</sub><sup>3+</sup>.

164 To monitor changes in OH vibrations and adsorption properties of various copper species, infrared  
165 spectra of adsorbed CO and NO were recorded at -175 °C with a Nexus 8700 FTIR spectrometer

166 using a DTGS detector with  $4\text{ cm}^{-1}$  resolution. An IR cell allowing in situ treatments in controlled  
167 atmospheres and temperatures from  $-175\text{ }^{\circ}\text{C}$  K to  $500\text{ }^{\circ}\text{C}$  was connected to a vacuum system with a  
168 gas dosing facility. The catalyst samples were pressed into self-supporting wafers, and dried at  $350$   
169  $^{\circ}\text{C}$  in an oxygen (Linde, Oxygen 5.0, 99.999 %, impurities:  $\text{H}_2\text{O} < 3\text{ ppm}$ , And  $\text{C}_n\text{H}_m < 0.2\text{ ppm}$ )  
170 flow for 2 h followed by evacuation at  $10^{-4}$  mbar at  $150\text{ }^{\circ}\text{C}$  for 1 h to prevent reduction of  $\text{Cu}^{2+}$  [17].  
171 After this pretreatment samples were cooled down to  $77\text{ K}$  under dynamic vacuum conditions.  
172 Adsorption of CO (Linde, CO 3.7, 99.97 %) and NO (Aldrich, 98.5 %) was performed by stepwise  
173 increasing the pressure (0.05-1 mbar) and IR spectra were collected at each step. Spectra  
174 deconvolution was performed using the ORIGIN software. Quantitative data cannot be extracted  
175 from the IR spectra due to experimental limitations (NO reactivity and NO liquefaction limits) and  
176 due to change in the relative intensity of di- and tri- carbonyl bands (due to symmetry changes of  
177 the copper-CO complexes). Therefore the data is only discussed in a qualitative way.  
178 The changes in Cu coordination and local environment upon dehydration were studied by in-situ  
179 EXAFS at the Cu-K edge in transmission mode using three ionization chambers for the detection of  
180 the incident X-rays. The measurements were performed at beamline X1 (Römo II experimental  
181 station) at Hasylab (Hamburg, Germany) and beamline I811 at MAXlab (Sweden). The dehydration  
182 of fresh and aged Cu-zeolites (sieved particle fraction  $75\text{-}125\mu\text{m}$ ) was followed by placing an *in*  
183 *situ* reactor cell [18] between the first and second ion chamber. A reference sample located between  
184 the second and third ionization chamber was used to calibrate the energy of the XAFS spectra. A  
185 double Si(111) crystal monochromator with a slight detuning of the second crystal was used to  
186 minimize the presence of higher harmonics. The dehydration experiments consisted of heating the  
187 samples to  $300\text{ }^{\circ}\text{C}$  in  $20\text{ }\%$   $\text{O}_2$  and subsequent cooling to room temperature. During heating, Quick  
188 EXAFS spectra were collected, and the gas composition monitored by a mass spectrometer  
189 (GAM200 IPI) connected to the outlet of the reactor, and full EXAFS data were collected at room  
190 temperature before and after dehydration. Pre-edge subtraction, background subtraction, and  
191 normalization of the experimental X-ray absorption spectra were done using the Athena software  
192 package [19] and the EXAFS refinements were performed with the EXCURV98 program [20].  
193 Phase shifts and backscattering factors were calculated *ab initio* using Hedin-Lundqvist exchange  
194 and Von Barth ground state potentials. Refinements were carried out using  $k^3$  weighting in the  
195 range of  $2.5$  to  $12.5\text{ \AA}^{-1}$ . The amplitude reduction factors (AFAC parameters) calibrated from the fit  
196 of a bulk CuO reference were fixed at 0.63.

197 The reducibility of the Cu was investigated by H<sub>2</sub>-TPR experiments. A 100 mg sample in a fixed  
198 bed reactor (i.d. 4 mm) was dehydrated by heating at 150 °C for 30 min in He at a flow of 100  
199 NmL/min. The actual reduction was done in 1 % H<sub>2</sub> / He at 50 NmL/min by heating from 100 °C to  
200 600 °C with a heating rate of 5 °C/min. The hydrogen consumption and water formation were  
201 followed using a calibrated mass spectrometer (Balzers GAM400).

202 Monitoring of copper migration was carried out by combined transmission electron microscopy  
203 (TEM) using energy dispersive X-ray spectroscopy (EDS). Samples for TEM were prepared by  
204 dispersing a small amount of the sample in ethanol and placing the suspension onto a molybdenum  
205 grid with a lacey carbon support. TEM analyses were carried out in a Philips CM200 microscope  
206 using the nano-probe mode. The EDS spectra from each catalyst were accumulated using beam  
207 currents of 115 pA with a live time of 200 s. The beam was spread to a diameter of 100 nm in order  
208 to reduce damage to the zeolite crystals.

209

### 210 **3. Results**

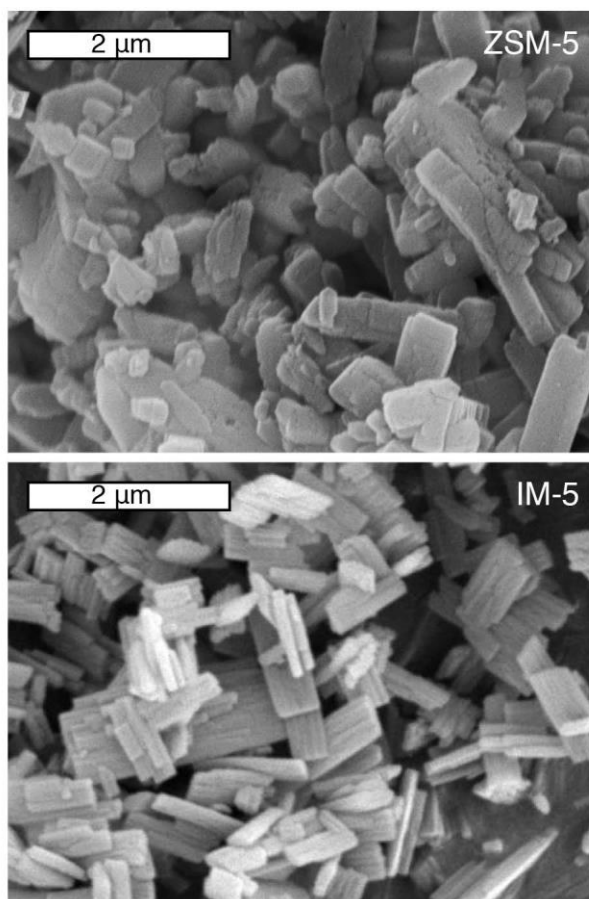
211

212 In order to compare the differences in activity and deactivation behavior of Cu-IM-5 and Cu-ZSM-  
213 5, comparable parent materials were successfully synthesized. After synthesis of the IM-5, it was  
214 confirmed by XRD that the product was fully crystalline and had the desired IMF topology. The  
215 SEM micrographs of the IM-5 in Figure 1 show that the IM-5 zeolite consists of plate-like crystals  
216 with a maximum crystallite size of 2 μm and a thickness of a few hundred nanometers. This is  
217 similar to other morphology images of IM-5 [21]. To avoid any diffusion discrepancies between the  
218 catalysts, a parent ZSM-5 based on similar crystal size was selected (also shown in Figure 1).

219 Furthermore both materials had similar Si/Al ratios of 11.

220





221  
222  
223

**Figure 1 SEM micrographs of parent ZSM-5 (top) and IM-5 (bottom) materials**

224 The two zeolites were ion-exchanged in aqueous solutions of  $\text{Cu}^{2+}$  to obtain comparable copper  
225 contents as shown in Table 1.

226

227 **Table 1 Ion exchange concentrations and elemental composition after calcination of the Cu-zeolites**

228

Sample	Ion exchange concentration (mM)	Cu/Al
ZSM-5	-	(Si/Al=11.4)
Cu(0.29)-ZSM-5	1.0	0.29
Cu(0.43)-ZSM-5	10.0	0.43
Cu(0.62)-ZSM-5	3x10.0	0.62
IM-5	-	(Si/Al=10.8)
Cu(0.28)-IM-5	2.0	0.28
Cu(0.44)-IM-5	10.0	0.44
Cu(0.62)-IM-5	3x10.0	0.62

229  
230

231

232 3.1 NH<sub>3</sub>-SCR activity of fresh and aged Cu-ZSM-5 and Cu-IM-5

233

234 The performance of fresh Cu-IM-5 and Cu-ZSM-5 at equal Cu-loadings appears to be unaffected by  
235 the framework topology. This is a consequence of the similarities between MFI and IMF  
236 frameworks both having 10-member-ring pore openings and a similar connectivity in the structure  
237 made up of building units containing 6, 5, and 4 T-atoms [22], which provide similar Cu-  
238 coordination environments. For these reasons Cu-ZSM-5 and Cu-IM-5 is a good pair to study the  
239 effect of framework stability on the deactivation behavior.

240

241 Catalytic performance of the two fresh Cu-zeolites is almost identical for similar copper loadings.  
242 Figure 2 shows the NO<sub>x</sub> conversion (defined as the total conversion of NO and NO<sub>2</sub>) for the fresh  
243 ZSM-5 and IM-5 catalysts in the temperature interval from 170 to 550 °C, together with the  
244 production of NO<sub>2</sub> and N<sub>2</sub>O. For all catalysts, the NO<sub>x</sub> conversion increases when the temperature  
245 increases from 170 to 240 °C and displays an Arrhenius behavior until the conversion approaches  
246 100 %. For both zeolites the apparent NO<sub>x</sub> conversion is similar at medium and high Cu loading,  
247 while it is considerably lower at a low Cu loading. This indicates that NH<sub>3</sub>-SCR activity is not  
248 limited by the number of copper sites once a certain Cu loading is reached, i.e. between Cu/Al  
249 ratios of 0.3 and 0.45.

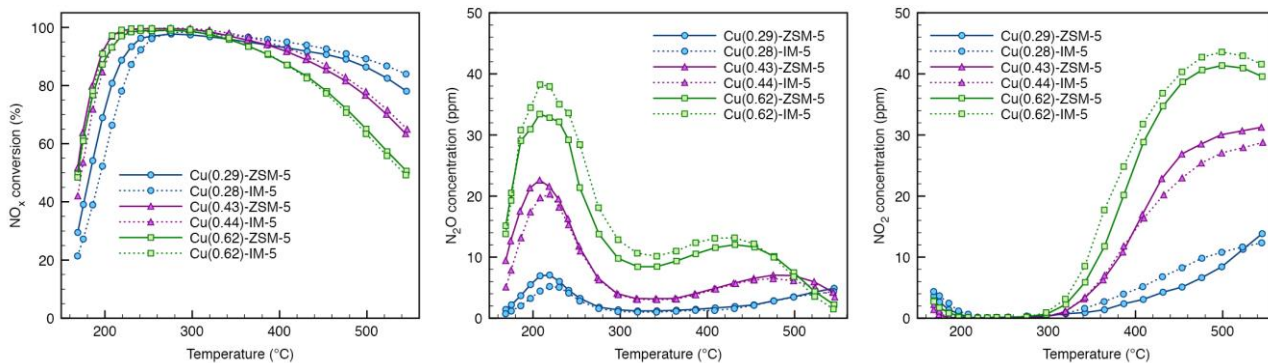
250

251 Even though the NO<sub>x</sub> conversion is similar at medium and high copper loadings, the product  
252 distribution is different. The amounts of N<sub>2</sub>O around 200 °C and NO<sub>2</sub> above 350 °C increases with  
253 copper loading. As a consequence of the increased NO<sub>2</sub> formation, the apparent NO<sub>x</sub> conversion  
254 decreases above 350 °C, indicating an increased contribution of a direct oxidation of ammonia to  
255 NO<sub>x</sub>. These changes in product distribution suggest that the ammonia oxidation activity increases  
256 with increasing Cu loading for both ZSM-5 and IM-5 at medium and high Cu loadings, which has  
257 an important impact on overall high-temperature performance of such catalysts.

258

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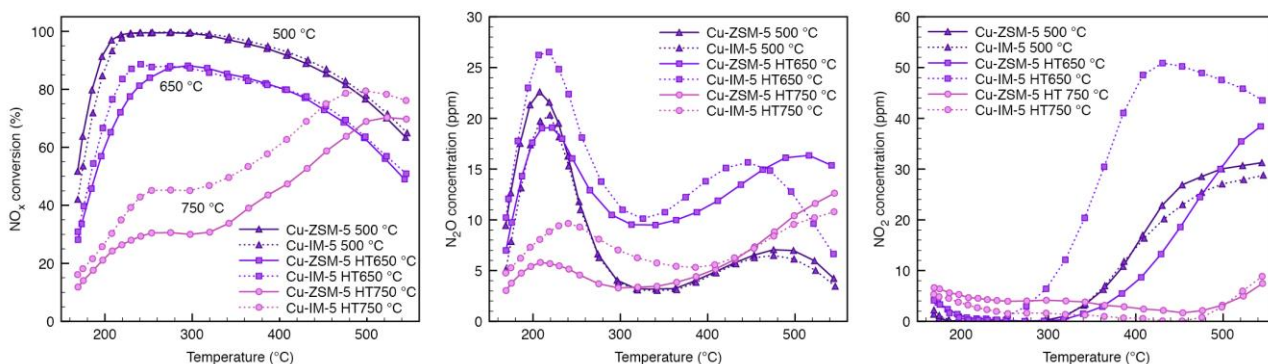
262 **Figure 2** Catalytic performances of Cu-ZSM-5 (solid lines) and Cu-IM-5 (dotted lines) with various copper loadings (low =  
 263 circles, medium = triangles and high = squares; see Cu/Al ratios in **Table 1**). NO<sub>x</sub> conversion (left panel), N<sub>2</sub>O formation  
 264 (middle panel) and NO<sub>2</sub> formation (right panel). Conditions were: 530 ppm NH<sub>3</sub>, 500 ppm NO, 10 % O<sub>2</sub>, 5 % H<sub>2</sub>O, 40 mg  
 265 catalyst and N<sub>2</sub> balance to 285 NmL/min.

266

267 The effect of ageing of the Cu-ZSM-5 and Cu-IM-5 catalysts on the performance in NH<sub>3</sub>-SCR is  
 268 shown in Figure 3, which displays the conversion of NO, and the formation of N<sub>2</sub>O and NO<sub>2</sub> for the  
 269 fresh and aged catalysts with a medium Cu loading (Cu/Al = 0.4). Ageing of the catalysts leads to a  
 270 deactivation of both the Cu-ZSM-5 and Cu-IM-5 catalysts with a similar trend, but with some  
 271 differences in the extent of the deactivation. Furthermore, the deactivation is more severe after  
 272 ageing at 750 °C, compared to 650°C.

273 The conversion for both samples aged at 750 °C seems to follow a bimodal profile, with local  
 274 maxima at ca. 250 and 510 °C. Possible explanations for this behavior involves an introduction of  
 275 diffusion limitations upon micropore blocking, framework destruction or the presence of various  
 276 species or phases with different activities depending on the temperature after ageing.

277



278

279 **Figure 3** Catalytic performance of Cu-ZSM-5 (solid lines) and Cu-IM-5 (dotted lines) with medium copper loadings  
 280 (Cu/Al=0.4) in the calcined state (triangles) and after accelerated hydrothermal ageing in diesel exhaust at 650 °C (squares)  
 281 and 750 °C (circles). Conditions were similar to those given in Figure 2

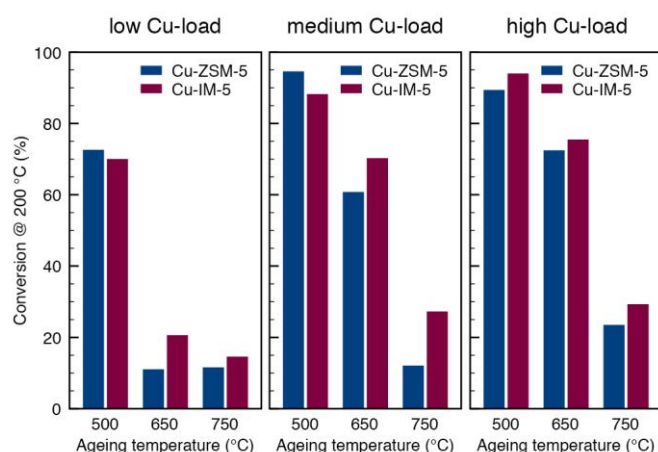
282 The N<sub>2</sub>O production over the Cu-ZSM-5 catalyst is not affected by ageing at 650 °C, whereas a  
283 slight increase in N<sub>2</sub>O is observed for Cu-IM-5. In both cases however, the N<sub>2</sub>O selectivity  
284 increases upon ageing. A similar change also occurs for NO<sub>2</sub> at higher temperatures; the increase in  
285 NO<sub>2</sub> selectivity is very pronounced in the Cu-IM-5 catalyst aged at 650 °C. This is the same trend  
286 as observed with higher copper loadings on fresh catalysts, suggesting an increased selectivity  
287 towards oxidation compared to SCR, possibly as a consequence of the Cu atoms becoming located  
288 closer together.

289  
290 After ageing at 750 °C, the catalyst degradation is more severe. The formation of N<sub>2</sub>O and NO<sub>2</sub> is  
291 absent for Cu-ZSM-5, and only a small amount is formed with the Cu-IM-5 catalyst. If the two  
292 zeolite systems degrade by the same mechanism, which is highly likely as the structures resemble  
293 each other with respect to building units and pore systems, it appears as though the Cu-IM-5 after  
294 650 °C has degraded less than the Cu-ZSM-5.

295  
296 Even though the general deactivation behavior upon ageing at 650 and 750 °C of the Cu-ZSM-5  
297 and Cu-IM-5 catalysts are similar, there are some indications of a higher stability of the Cu-IM-5  
298 catalyst. This is illustrated in Figure 4, showing the NO<sub>x</sub> conversion for the fresh and aged Cu-  
299 ZSM-5 and Cu-IM-5 at 200 °C at low, medium, and high Cu loadings. For the low and medium Cu-  
300 loadings, the conversion drop after ageing at 650 and 750 °C is larger for the Cu-ZSM-5 catalysts,  
301 compared to Cu-IM-5, which is consistent with some higher stability of the IM-5 framework.

302  
303 Furthermore, the deactivation upon ageing becomes less pronounced at high Cu loadings, as seen in  
304 the right part of Figure 4. This suggests that copper when present in ion exchange positions also  
305 stabilize the Al in the framework to some extent. As the relative loss in NO<sub>x</sub> conversion is almost  
306 similar and only slightly favored for Cu-IM-5 catalysts for similar copper loadings this suggestion  
307 appears to be reasonable for both zeolites.

308



309

310 **Figure 4** NO<sub>x</sub> conversion of Cu-ZSM-5 and Cu-IM-5 zeolites with various Cu loadings (see Table 1) after calcination at 500  
 311 °C and accelerated hydrothermal ageing in diesel exhaust at 650 °C and 750 °C. The Cu-ZSM-5 is given as the left bar in blue  
 312 and Cu-IM-5 to the right in purple. Catalytic test conditions were similar to those given in Figure 2

313

### 314 3.2 Material Changes upon Accelerated Ageing

315

316 To investigate the influence of framework changes on NH<sub>3</sub>-SCR performance after ageing, the  
 317 micropore volume was examined to quantify the extent of zeolite framework damage that could  
 318 occur through collapse of the structure or by the growth of other phases, see Table 2.

319

320 **Table 2** Micropore volume of Cu-zeolites before and after accelerated ageing at 650 and 750 °C

Sample	V <sub>micro</sub> (cm <sup>3</sup> /g) <sup>a</sup>		
	500 °C	HT 650 °C	HT 750 °C
ZSM-5	0.160	-	0.145
Cu(0.29)-ZSM-5	0.153	0.140	0.098
Cu(0.43)-ZSM-5	0.144	0.140	0.088
Cu(0.62)-ZSM-5	0.137	0.139	0.097
IM-5	0.167	-	0.143
Cu(0.28)-IM-5	0.164	0.158	0.135
Cu(0.44)-IM-5	0.162	0.147	0.107
Cu(0.62)-IM-5	0.150	0.140	0.090

321

322

323 The micropore volume decreases with increasing copper loading on both zeolites, indicating that  
 324 the copper fills up part of the internal void space. The extent of the loss in micropore volume upon  
 325 ageing is similar for Cu-ZSM-5 and Cu-IM-5 catalysts. Ageing at 650 °C leads to an approximate  
 326 10 % decrease in original micropore volume, and ageing at 750 °C further increases the loss to 30-  
 327 40 % for both catalysts with small differences depending on copper loading. When these differences

328 are compared to the changes in catalytic performance after ageing, which are more severe, a  
329 correlation is therefore not reflected in the microporosity changes. Instead the relative changes in  
330 microporosity are better correlated with the overall framework retention. This is in good agreement  
331 with crystallinity results obtained from x-ray diffraction (see Supplementary Data Figure S1).

332

333

334 For the protonic forms of the ZSM-5 and IM-5 zeolites, the micropore volume is reduced by  
335 approximately 15% after severe ageing, which is significantly less than observed for the Cu-  
336 exchanged forms. The increased loss of pore volume in the Cu-exchanged zeolites indicates that Cu  
337 enhances the overall degradation of the zeolite framework. Since Cu is already present and the pore  
338 volume is already smaller than in the corresponding parent zeolite, the framework degradation  
339 should only occur due to the growth of clusters containing Cu, Al or a combination of both. The  
340 presence of such species, however, could not be observed by TEM or XRD, which indicates that  
341 such phases are not fully developed or that the domains are too small to generate the periodicity  
342 needed for diffraction.

343

344 Even though the changes in pore volume upon ageing are similar for Cu-ZSM-5 and Cu-IM-5, it is  
345 known that the stability of Al in IM-5 is higher than in ZSM-5 [14,15]. This can also be quantified  
346 by measurement of the NH<sub>3</sub>-adsorption capacity of the parent protonic forms of ZSM-5 and IM-5  
347 before and after ageing. These NH<sub>3</sub> adsorption capacities are summarized in Table 3. Assuming that  
348 NH<sub>3</sub> exclusively binds to the Brønsted acidic sites in the zeolites with one NH<sub>3</sub> molecule per Al  
349 forming NH<sub>4</sub><sup>+</sup> ions [23], we can quantify the amount of Al remaining in the framework after ageing.  
350 As seen in Table 3, most of the acidity is lost during the mild ageing at 650 °C. The ZSM-5 catalyst  
351 loses 84% of the NH<sub>3</sub> capacity after ageing at 650 °C, and 92% after ageing at 750 °C. This  
352 corresponds to an increase in the Si/Al ratio in H-ZSM-5 from 19 to 130 and 259 after ageing at 650  
353 and 750 °C, respectively. The loss of acidity in H-IM-5 upon ageing at 650 and 750 °C is 74% and  
354 84%, respectively, which corresponds to an increase in Si/Al ratio from 21 to 89 and 149. The loss  
355 of acidity in H-IM-5 is clearly less than for H-ZSM-5, again confirming the higher Al stability  
356 present in the IMF framework as compared to MFI.

357

358 **Table 3 Acidity of parent ZSM-5 and IM-5 materials after calcination at 500 °C and accelerated hydrothermal ageing in**  
359 **diesel exhaust at 650 and 750 °C for 16 h. Before desorption the samples were flushed in N<sub>2</sub> at 150 °C. Values in parenthesis**  
360 **indicate percentage of NH<sub>3</sub>-capacity remaining compared to the parent sample**

Sample	NH <sub>3</sub> -capacity (μmol/g)		
	500 °C	HT 650 °C	HT 750 °C
ZSM-5	812	127 (16%)	64 (8%)
IM-5	713	185 (26%)	111 (16%)

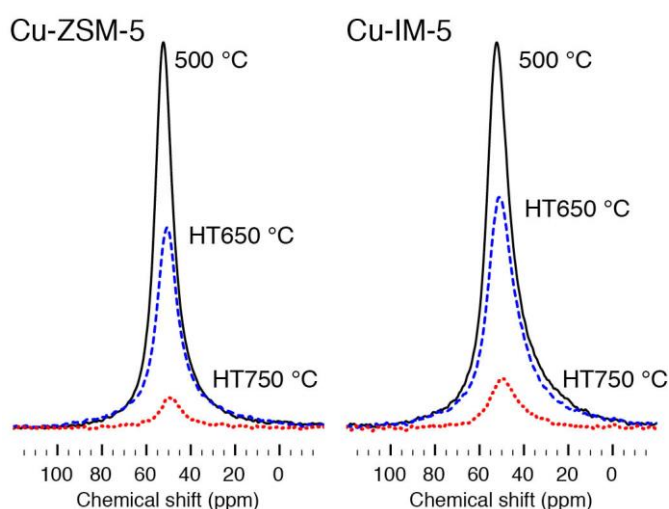
361

362

363 Since NH<sub>3</sub> not only adsorbs on the Brønsted acidic protons, but also binds to the Cu and various  
 364 Cu-species when these are present, a measurement of the NH<sub>3</sub>-capacity cannot be used to monitor  
 365 the Al located in the framework after ageing of the Cu-ZSM-5 and Cu-IM-5 materials. Instead we  
 366 chose to monitor the coordination of Al by solid state <sup>27</sup>Al-MAS-NMR. As the chemical shift of the  
 367 <sup>27</sup>Al-MAS-NMR signal is sensitive to the Al coordination, the extraction of the Al from the  
 368 framework, involving a transition from tetrahedral to octahedral coordination, through five-  
 369 coordinated partially detached Al [24,25,26], can be monitored.

370 Fresh Cu-ZSM-5 and Cu-IM-5 only show a single peak at 50 ppm corresponding to Al in the  
 371 framework. Upon hydrothermal ageing the peak decreases; by integration the peak decreases at 650  
 372 °C to 68 and 70 % of its original area of fresh Cu-ZSM-5 and Cu-IM-5 respectively and at 750 °C  
 373 to 7 and 11 % of the original for Cu-ZSM-5 and IM-5 respectively. The decrease in the 50 ppm peak  
 374 is not accompanied by new Al peaks in the spectrum. This is an indication of an interaction of the  
 375 Al with paramagnetic Cu<sup>2+</sup> ions, which has earlier been seen to dampen the NMR signal  
 376 [5,6,11,27]. Thus, the dealumination process in the Cu-ZSM-5 and Cu-IM-5 involves Al  
 377 detachment from the framework and the formation of alumina species in close contact with Cu<sup>2+</sup> in  
 378 small aggregates and with low symmetry.

379



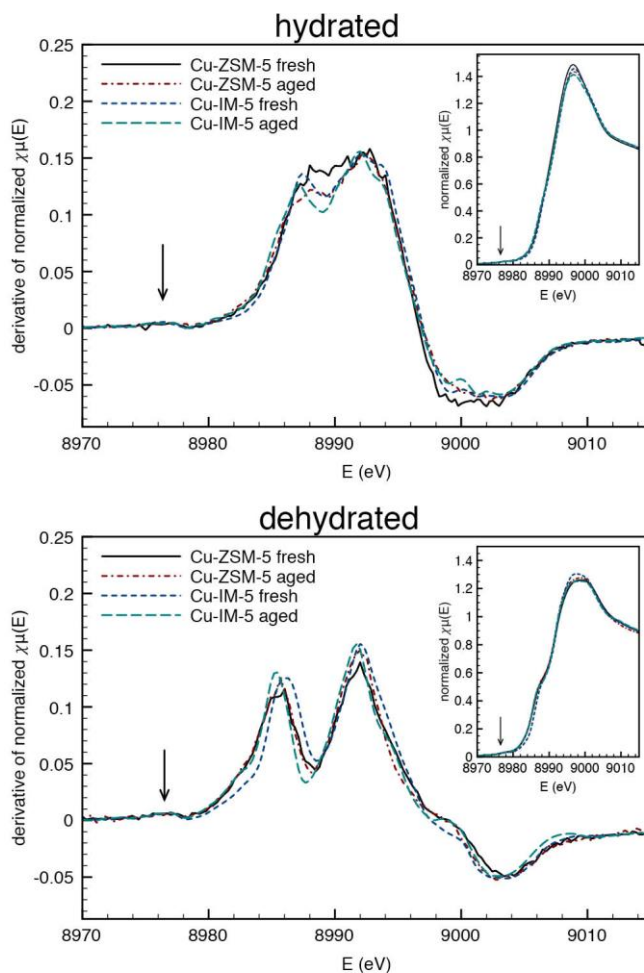
380

381 **Figure 5**  $^{27}\text{Al}$ -MAS-NMR results of Cu-ZSM-5 (left) and Cu-IM-5 (right) fresh (solid line), aged at 650 °C (dashed line) and  
382 aged at 750 °C (dotted line)

383

384 As a consequence of the close interaction between Cu and extra-framework Al, as suggested by  
385 NMR, the state and coordination of Cu changes during ageing. In order to obtain more detailed  
386 information on the effect of ageing on Cu, XAFS spectra were measured on Cu-zeolites before and  
387 after ageing. For these measurements, it was necessary to first dehydrate the samples to obtain a  
388 sufficient level of structural information. The XANES spectra of the hydrated and dehydrated Cu-  
389 ZSM-5 and Cu-IM-5 with medium Cu-loading before and after ageing at 750 °C are shown in  
390 Figure 6. To enhance the changes in the Cu-edge position and shape of the Cu-edge, the first  
391 derivative of the XANES spectra was used.

392



393

394 **Figure 6** XANES spectra and first derivatives of hydrated and dehydrated Cu-ZSM-5 and Cu-IM-5 before and after  
395 accelerated ageing at 750 °C



396

397 For all the samples, the absorption edge, caused by the dipole-allowed 1s-4p electronic transition of  
398 Cu,[28] is located between 8987-8988 eV (determined at half of the edge-step). This is  
399 characteristic of Cu<sup>2+</sup> species [28,29]. This conclusion is corroborated by the very weak, but  
400 nevertheless visible in the first-derivative, quadrupole-allowed 1s-3d transition at 8976-8977 eV,  
401 which is typical for most cupric compounds [30,31] (marked by the arrows in Figure 6). Therefore,  
402 the Cu is present as Cu<sup>2+</sup>, before and after dehydration and ageing.

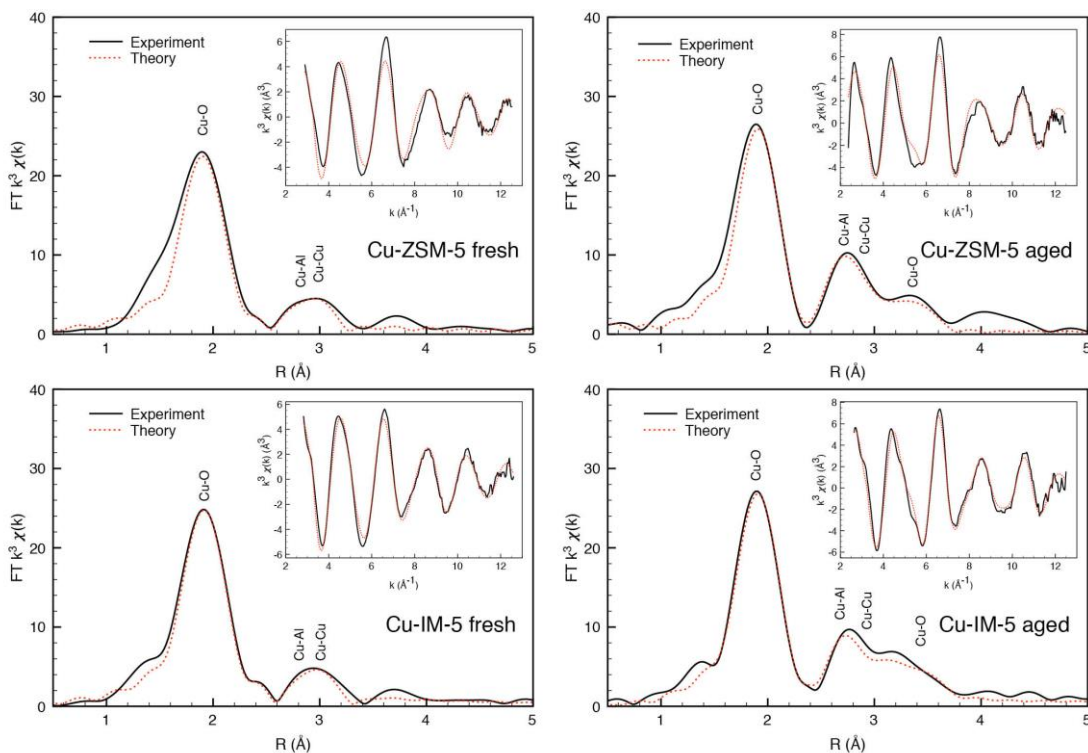
403

404 The absorption edge of the hydrated Cu-ZSM-5 zeolite is featureless, indicating a symmetric  
405 environment of the Cu<sup>2+</sup> atoms. The Cu-IM-5 hydrated zeolite shows a slight shoulder on the  
406 absorption edge, which is visible as a doublet of maxima in the first derivative function. This  
407 shoulder, corresponding to a 1s-4p<sub>xy</sub> shakedown transition [29], indicates a tetragonal distortion of  
408 the Cu(II) octahedron [32,33].

409

410 Upon dehydration, performed by heating in 20 % O<sub>2</sub> to 300 °C, the copper environment is  
411 modified. Both the white line and the edge shoulder shift to lower energies, but the intensity of the  
412 white line decreases and that of the shoulder increases (see inserts in Figure 6). In the cases of Cu-  
413 ZSM-5, the shoulder becomes visible corresponding to a distortion of the symmetry. These changes  
414 are in agreement with a decrease of Cu(II) coordination due to removal of water molecules [29,34]  
415 and a tighter coordination of Cu to zeolite framework oxygen atoms. Furthermore, the changes in  
416 the shoulder observed resemble those observed in Cu(II) metal-organic frameworks (MOFs) or  
417 Cu(II) complexes, and have been ascribed to an enhanced covalency and distortion in the ligand-  
418 copper bonds [29,32].

419



420

421 **Figure 7** FT Magnitude of the EXAFS measured on dehydrated Cu-zeolites in the fresh and aged (750 °C) state. Inserts show  
 422 the  $k^3$  weighted EXAFS data in  $k$ -space. Experimental data (solid black line) are shown together with the best fitted  
 423 theoretical model (dotted red line).

424 More detailed information of the Cu environment in the dehydrated Cu-ZSM-5 and Cu-IM-5 before  
 425 and after ageing is obtained from the EXAFS signal and fitting thereof. (see Figure 7). The  
 426 quantitative results are given in Table 4. It is important to note that the EXAFS data do not provide  
 427 sufficiently detailed information to distinguish between the Cu-ZSM-5 and Cu-IM-5 structures, but  
 428 the changes upon hydrothermal ageing are significant and will be our focus. In both fresh and aged  
 429 catalysts, the first coordination shell contains approximately four oxygen atoms at 1.93-1.95 Å. The  
 430 second shell however is quite different for fresh and aged catalysts. For the fresh catalysts, attempts  
 431 to fit the second coordination shell with only a single contribution gave unsatisfactory results. Two  
 432 coordination shell contributions had to be considered in the refinement: a Cu-Al (or Cu-Si) and a  
 433 Cu-Cu coordination shell. The described model is consistent with an interaction of the Cu with the  
 434 framework, and a Cu-Cu coordination e.g. due to dimer-formation during the dehydration treatment  
 435 in oxygen. Furthermore, we note that the Cu/Al ratio is larger than 0.5, which also suggests the  
 436 presence of extra framework oxy- or hydroxy species to counterbalance the +2 charge of Cu on  
 437 some of the Cu sites.

438

439 Upon ageing at 750 °C, the features in the second shell change significantly. The Cu-Al  
 440 coordination number increases to approximately 2. The higher Cu-Al coordination is consistent  
 441 with a close contact between Cu and Al after ageing, as pointed out by <sup>27</sup>Al-MAS-NMR (see  
 442 Figure 5). Furthermore, a third coordination shell located around 3.39 Å appears. This shell is most  
 443 likely due to coordination by oxygen atoms, but we cannot unambiguously determine the identity of  
 444 the elements in this coordination shell.

445 We furthermore note that the lack of a long-range coordination (> 5 Å) in the EXAFS data confirms  
 446 the small size of the Cu-containing particles, as extended phases of e.g. bulk CuO would have  
 447 shown further coordination shells.

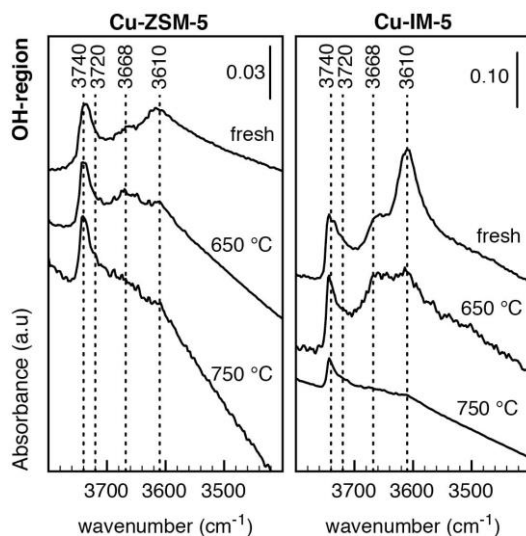
448

449 **Table 4 Results from EXAFS fitting analysis on the Cu-ZSM-5 and Cu-IM-5 catalysts in the fresh and aged (750 °C) state.**

Coordination	N	Distance (Å)	2σ <sub>2</sub> (Å <sup>2</sup> )
<b>Cu-ZSM-5 fresh</b>			
Cu-O	4.2	1.934	0.009
Cu-Al	0.7	2.692	0.009
Cu-Cu	0.4	2.933	0.009
<b>Cu-ZSM-5 aged at 750 °C</b>			
Cu-O	4.6	1.955	0.009
Cu-Al	2.1	2.701	0.009
Cu-Cu	0.5	2.925	0.009
Cu-O	2.8	3.379	0.009
<b>Cu-IM-5 fresh</b>			
Cu-O	4.1	1.946	0.011
Cu-Al	0.7	2.746	0.011
Cu-Cu	0.3	2.983	0.011
<b>Cu-IM-5 aged at 750 °C</b>			
Cu-O	4.1	1.941	0.010
Cu-Al	1.9	2.717	0.010
Cu-Cu	0.4	2.997	0.010
Cu-O	3.0	3.402	0.010

450

451 The dealumination upon ageing and the changes in the Cu phases can also be observed by infrared  
 452 spectroscopy. Especially dealumination is reflected by changes in the OH-stretching region (ν(OH),  
 453 3400-3800 cm<sup>-1</sup>) in the IR spectrum of Cu-ZSM-5 and Cu-IM-5 zeolites.



454

455 **Figure 8** IR spectra in the  $\nu$ OH region of the Cu-ZSM-5 and Cu-IM-5 samples before probe molecule adsorption for fresh  
 456 and aged samples at 650 and 750 °C

457

458 The  $\nu$ (OH) region of the IR spectra of the fresh and aged zeolites is shown in Figure 8. Three  
 459 distinct features can be observed. The first feature appears in the range of 3700-3750  $\text{cm}^{-1}$ , and is  
 460 associated with silanol groups. The band located around 3740  $\text{cm}^{-1}$  corresponds to isolated silanols  
 461 (Si-OH) on the external surface [35,36], while isolated internal silanol groups are seen as a shoulder  
 462 around 3720  $\text{cm}^{-1}$  [37].

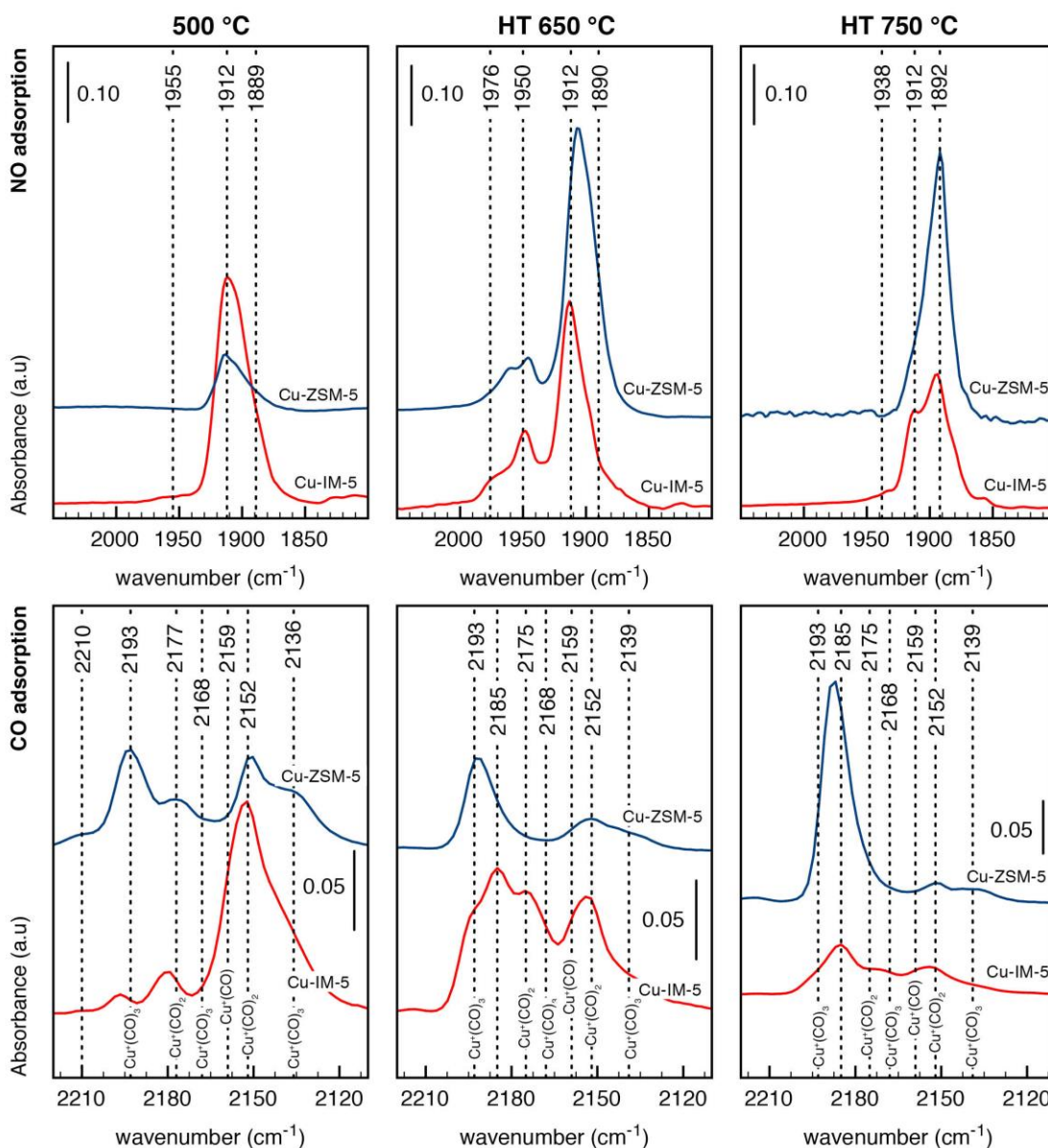
463 The second feature is the band located at 3610  $\text{cm}^{-1}$ , which is routinely assigned to Brønsted acid  
 464 sites related to bridging hydroxyl groups (Si-(OH)-Al) [38]. Upon ageing at 650 °C, this band  
 465 becomes weaker and it is almost completely lost after the ageing treatment at 750 °C. This is  
 466 consistent with the loss of Brønsted acidity and points towards removal of Al from the framework  
 467 in both Cu-IM-5 and Cu-ZSM-5, as was also observed using  $^{27}\text{Al}$ -MAS-NMR.

468 The third feature is a band at 3668  $\text{cm}^{-1}$ . The assignment of this band is not straightforward. It has  
 469 earlier been assigned to partial extra-framework aluminum. [30 and references therein,39]. We note,  
 470 that from the NMR results no Al in octahedral coordination could be observed as would be the case,  
 471 if the Al had fully detached from the framework to form an alumina phase. A second possible  
 472 assignment made recently is to the hydroxide group on a single  $\text{Cu}^{2+}$  ion (i.e. Cu-OH) only visible  
 473 on Cu-zeolites pretreated in an oxidative atmosphere in order to avoid reduction of the copper  
 474 during the pretreatment [43], as was the case here. Upon ageing at 650 °C this band grows, but  
 475 almost disappears again upon the severe ageing at 750 °C. Assuming that there is only a little  
 476 amount of partial extra-framework aluminum in the fresh samples and that extra Cu-OH species are  
 477 not generated, the OH-region suggests that aluminum is partially detached from the framework

478 upon the intermediate ageing, and that both Cu-OH and OH-sites associated with Al are consumed  
 479 during the 750 °C ageing procedure.

480

481 To monitor the changes in the Cu sites upon ageing, FTIR of adsorbed CO and NO was used. CO  
 482 and NO as probe molecules are sensitive to the nature and environment of individual Cu<sup>2+</sup> and Cu<sup>+</sup>  
 483 ions.



484

485 **Figure 9** IR spectra in the  $\nu$ NO stretching vibration region (top panel) of the Cu-ZSM-5 (blue) and Cu-IM-5 (red) samples at  
 486 77K and 0.1 mbar NO as well as IR spectra of the  $\nu$ CO stretching vibration region (lower panel) at 77K and 0.1mbar CO  
 487 adsorption. From left to right: fresh, aged at 650 °C and aged at 750 °C

488

489 The FTIR spectra of NO adsorption on Cu-ZSM-5 and Cu-IM-5 samples before and after  
490 hydrothermal ageing at 650 and 750 °C is shown in the top panel of Figure 9. NO adsorption was  
491 performed at -175 °C to minimize formation of nitrates and nitrous oxide; nevertheless some of  
492 these compounds were still formed at higher coverages. Therefore, we only include spectra  
493 measured at low NO coverage and only the location and relative intensities of the FTIR signals  
494 should be interpreted. On fresh samples, NO adsorption results in a band with a maximum at 1912  
495  $\text{cm}^{-1}$  and a shoulder at 1889  $\text{cm}^{-1}$ . The band at 1912  $\text{cm}^{-1}$  has been associated to  $\text{Cu}^{2+}$  ions in a  
496 square pyramidal configuration [40,41] most likely associated with two Al sites in the zeolite [42].  
497 The shoulder at 1889 $\text{cm}^{-1}$  could be related to NO interacting with acidic OH groups of the zeolite,  
498 which is in agreement with the simultaneous shift observed in the OH-region and the nitrosyl band  
499 from  $\text{Cu}^{2+}$  interacting with a single framework Al counter balanced by a  $\text{OH}^-$  ligand [42,43]. It  
500 should be noted that the presence of small amounts of CuO contributing to the low frequency IR  
501 band cannot be discarded, since NO interacting with CuO has been reported in the same range  
502 around 1889  $\text{cm}^{-1}$  [44,45].

503  
504 Upon ageing at 650 °C NO bands in the 1976-1944  $\text{cm}^{-1}$  region appear and they are related to  
505 associated  $\text{Cu}^{2+}$ -oxo species [40]. This occurs for both Cu-zeolites, but is most pronounced for Cu-  
506 ZSM-5 and indicates some degree of copper migration. Furthermore, most of the  $\text{Cu}^{2+}$  nitrosyls are  
507 still observed in the region between 1912-1890  $\text{cm}^{-1}$ . In this case, no shift in the bridging hydroxyl  
508 group is observed during the NO adsorption, which supports the assignation of the low frequency  
509 1890  $\text{cm}^{-1}$  IR band to  $[\text{Cu}^{2+}\text{OH}]^+$ . CuO can in this case and in the later discussion be ruled out (see  
510 later discussion and  $\text{H}_2$ -TPR experiments)

511  
512 After ageing at 750 °C all associated  $\text{Cu}^{2+}$  is consumed except for a small fraction remaining in the  
513 Cu-IM-5 sample (1938  $\text{cm}^{-1}$  band). The  $\text{Cu}^{2+}$  linked to two Al sites remains (band at 1912  $\text{cm}^{-1}$ ), but  
514 is less dominant compared to the state prior to ageing. This band furthermore appears to be more  
515 persistent in the Cu-IM-5 sample as compared to Cu-ZSM-5. On the contrary the contribution at  
516 1892  $\text{cm}^{-1}$  has grown and indicate a higher stability of isolated  $[\text{Cu}^{2+}\text{OH}]^+$  sites associated with  
517 single Al sites in the framework.

518  
519 Even though copper is only present in the +2 state in the Cu-zeolites as confirmed by XANES,  
520 some formation of  $\text{Cu}^+$  during reduction takes place due to self-reduction when samples are treated

521 in vacuum. Since the ability of copper to undergo reduction and oxidation between the +1 and +2  
522 state is important for the SCR reaction [46,47,48], we also investigated the copper species that  
523 easily reduce to  $\text{Cu}^+$  by CO adsorption. This offers further insights to the nature and environment of  
524 the most reactive copper species and their evolution with catalyst ageing.

525 Figure 9 (lower panel) shows the IR spectra of CO adsorption on both Cu-ZSM-5 and Cu-IM-5  
526 samples, fresh and aged at 650 and 750 °C, at low CO coverage (0.1 mbar). At higher CO  
527 coverages (spectra not shown) IR bands due to physisorbed CO ( $2139\text{ cm}^{-1}$ ) and CO interacting  
528 with zeolite Brønsted acid sites and silanols ( $2174$  and  $2162\text{ cm}^{-1}$ ) appeared [40], making the  
529 identification of CO interacting with copper sites more complicated. Therefore, our treatment of the  
530  $\text{Cu}^+$  nature is limited to low CO coverages. In the fresh Cu-ZSM-5 and Cu-IM-5, isolated  $\text{Cu}^+$  ions  
531 form dicarbonyl ( $2152$  and  $2177\text{ cm}^{-1}$ ) and tricarbonyl species ( $2136$ ,  $2168$  and  $2193\text{ cm}^{-1}$ ) after CO  
532 adsorption [30,40,49,50]. In addition, a band at  $2159\text{ cm}^{-1}$  is observed in the Cu-IM-5 sample,  
533 which corresponds to a  $\text{Cu}^+$  monocarbonyl complex [40]. At high frequencies a band at  $2210\text{ cm}^{-1}$  is  
534 also observed on both samples, related to CO interacting with a small amount of extra framework  
535 aluminum sites [40].

536  
537 Upon ageing at 650 °C the Cu-ZSM-5 and Cu-IM-5 continue to host tricarbonyls and dicarbonyls.  
538 Most interesting is however the appearance of a band at  $2185\text{ cm}^{-1}$ , which is especially clear in the  
539 Cu-IM-5 system. After ageing at 750 °C this band becomes the dominating feature for both  
540 catalysts, although some of the original carbonyl bands remain, especially in the Cu-IM-5.  
541 The high frequency band at  $2185\text{ cm}^{-1}$  has not yet, to the best of our knowledge, been reported for  
542 any  $\text{Cu}^+$  species. EXAFS and NMR techniques have shown the formation of clusters with a high  
543 degree of interaction between  $\text{Al}^{3+}$  and  $\text{Cu}^{2+}$ , which support our tentative assignment of the high  
544 frequency  $2185\text{ cm}^{-1}$  band to a strong interaction of CO with an  $\text{Al}^{3+}$  ion in a Cu-Al phase  
545 environment characterized by a strong interaction between Cu and Al as seen earlier. This is further  
546 supported by the high stability of the band towards evacuation. The assignment of this band is also  
547 supported by the lack of an IR band at  $2210\text{ cm}^{-1}$  where extra-framework  $\text{Al}^{3+}$  sites would typically  
548 be visible [51,36,52].

549 In addition to the other techniques applied, IR spectra show the presence of associated Cu-oxo  
550 complexes formed after ageing at intermediate temperatures together with the formation of partial  
551 extra framework aluminum. At more severe ageing clusters as proposed by EXAFS and NMR  
552 techniques seem to dominate in which CO can interact with the  $\text{Al}^{3+}$  ion. Moreover, the remaining

553  $\text{Cu}^{2+}$  species after severe ageing leads to a strong contribution in the nitrosyl band at  $1892\text{ cm}^{-1}$   
554 ( $[\text{Cu-OH}]^+$  coordinated to a single Al-framework atom) and suggests that the ion exchanged  $\text{Cu}^{2+}$   
555 sites remaining after severe ageing are associated with a single Al-site only as the presence of CuO  
556 could be ruled out by  $\text{H}_2$ -TPR experiments (see below).

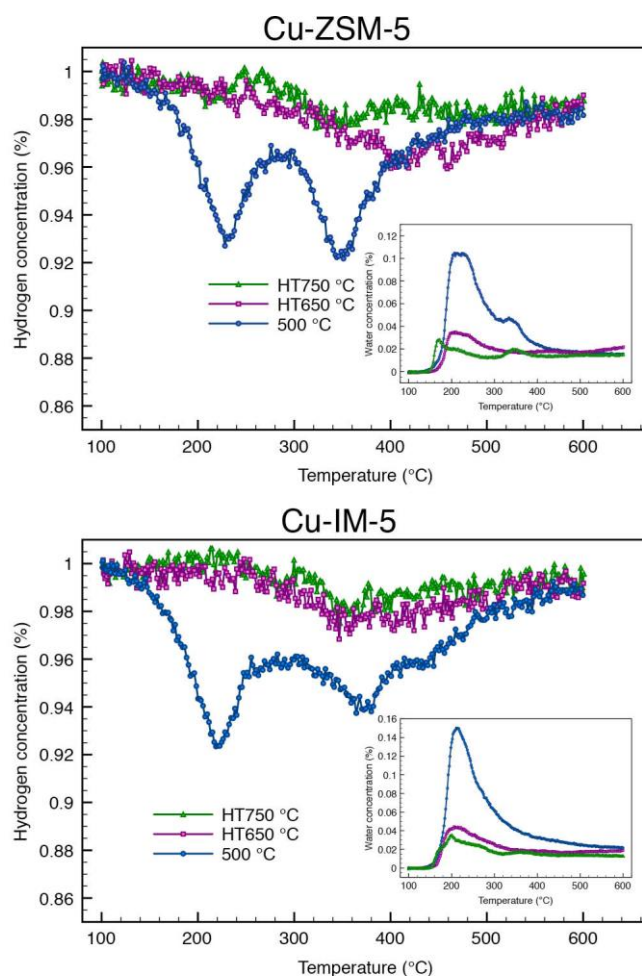
557  
558 The reducibility of the Cu in ZSM-5 and IM-5 has been studied with  $\text{H}_2$ -TPR using 1 %  $\text{H}_2$ , which  
559 is shown in Figure 10. In the fresh Cu-ZSM-5 and Cu-IM-5, the Cu is reduced in two stages giving  
560 rise to a hydrogen consumption around  $220\text{ }^\circ\text{C}$  and  $350\text{ }^\circ\text{C}$ . This corresponds to consecutive  
561 reactions from  $\text{Cu}^{2+}$  to  $\text{Cu}^+$  and then further from  $\text{Cu}^+$  to  $\text{Cu}^0$  [53]. Integration of the  $\text{H}_2$  signal gives  
562 a consumption of 0.89 and 0.98  $\text{H}_2$  molecules per Cu-atom in Cu-ZSM-5 and Cu-IM-5,  
563 respectively, in good agreement with a full reduction of all the copper except for a small fraction  
564 that has most likely undergone self-reduction during the heating [54].

565 Furthermore the  $\text{H}_2\text{O}$  signal was also monitored. The production of  $\text{H}_2\text{O}$  (seen in the inserts of  
566 Figure 10) in the second reduction step indicates the presence of Cu dimers, as also suggested by  
567 the EXAFS analysis, since only the reduction of  $[\text{Cu}(\text{H}_2\text{O})\text{-Cu}]^{2+}$  dimers leads to a release of  $\text{H}_2\text{O}$   
568 in the reduction from  $\text{Cu}^+$  to  $\text{Cu}^0$  [55]. Integration of this signal (most pronounced on Cu-ZSM-5)  
569 gives a release of  $\text{H}_2\text{O}$  per Cu-atom of 0.39 and 0.40 during the second part of the reduction.  
570 The reason why the first reduction is seen as only one peak and not as two, as would be expected  
571 when Cu-dimers are present, is because the limiting step is the dissociation of  $\text{H}_2$  and not the  
572 reduction itself in the first step [55].

573  
574 After ageing of Cu-ZSM-5 and Cu-IM-5 at  $650$  and  $750\text{ }^\circ\text{C}$ , the  $\text{H}_2$  consumption is seen as a single  
575 broader feature, which is shifted to higher temperature for the Cu-ZSM-5. The absence of hydrogen  
576 consumption below  $300\text{ }^\circ\text{C}$  indicates that Cu is not transformed to  $\text{Cu}_2\text{O}$  or  $\text{CuO}$ , which would  
577 show reduction in this temperature range [56,57]. *Together with the close proximity of Cu and Al*  
578 *after ageing, derived from  $^{27}\text{Al}$ -MAS-NMR, this points to the formation of copper aluminate-like*  
579 *species. These results are further supported by the need for higher temperatures before reduction*  
580 *occurs as well as the decreased  $\text{H}_2$  consumption known to occur in other copper alumina*  
581 *phases*[58].

582





583

584 **Figure 10** H<sub>2</sub> signal during temperature programmed reduction of Cu-ZSM-5 (top) and Cu-IM-5 (bottom) in the fresh state  
 585 after calcination at 500 °C (blue circles) and after accelerated ageing at 650 (purple squares) and 750 °C (green triangles).  
 586 The insert shows the H<sub>2</sub>O evolution during reduction

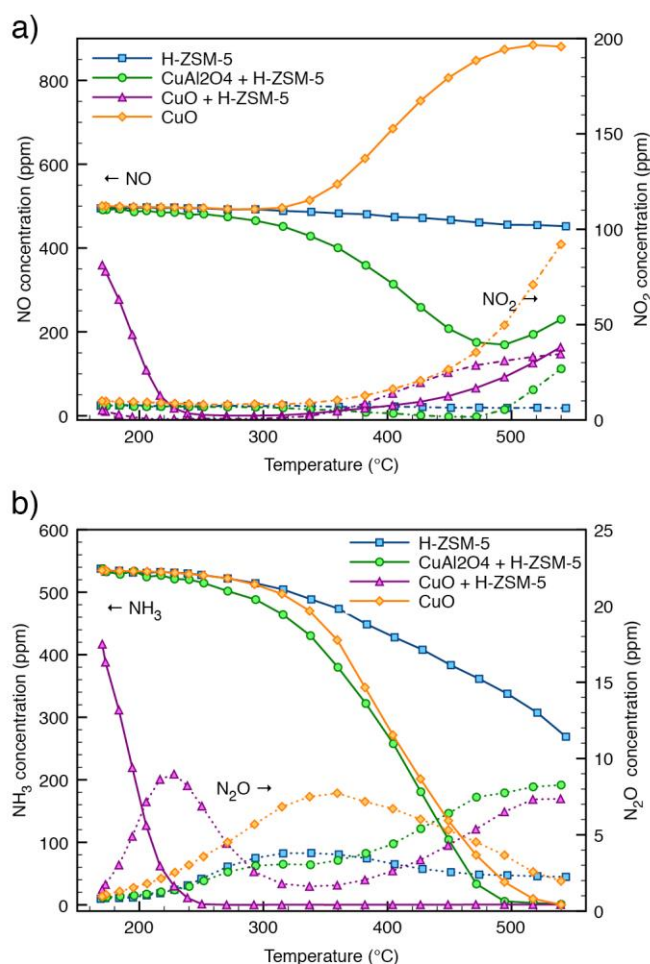
587

### 588 3.4 NH<sub>3</sub>-SCR Activity of Reference Materials

589

590 As ageing of the Cu-ZSM-5 and Cu-IM-5 leads to the formation of Cu-Al oxide species, it is useful  
 591 to examine the activity of such species in combination with a zeolite, to understand the effect of  
 592 these species on the SCR activity. Therefore we chose to measure the SCR-activity of pure H-ZSM-  
 593 5 zeolite, a mixture of 20 wt.% CuAl<sub>2</sub>O<sub>4</sub> + H-ZSM-5, 20 wt. % CuO + H-ZSM-5 and pure CuO,  
 594 corresponding to a similar amount of Cu, in the NH<sub>3</sub>-SCR reaction. The results of these activity  
 595 measurements are presented in Figure 11. The pure H-ZSM-5 sample shows no significant NO  
 596 conversion, and only some NH<sub>3</sub> is converted into N<sub>2</sub> at higher temperatures. The activity of the  
 597 mixture containing CuAl<sub>2</sub>O<sub>4</sub> and H-ZSM-5 shows some NO conversion at higher temperatures,

598 almost selectively into  $N_2$ , with only a small amount of  $N_2O$  formed (6 ppm at full  $NH_3$   
 599 conversion). A different result is obtained with the mixture of  $CuO$  and  $H-ZSM-5$  mixture, showing  
 600 full conversion of  $NH_3$  and  $NO$  already around  $240\text{ }^\circ C$ , accompanied by a small production of 9  
 601 ppm  $N_2O$ . At higher temperatures  $NO$  formation from  $NH_3$  oxidation is observed. The performance  
 602 corresponds to that expected for a  $Cu-ZSM-5$  catalyst. Pure  $CuO$  shows a completely different  
 603 result; at low temperature no conversion of  $NO$  or  $NH_3$  occurs, while at higher temperatures  
 604 oxidation of  $NH_3$  selectively to  $NO$  and  $NO_2$  takes place. This difference in reactivity between the  
 605 pure  $CuO$  and  $CuO$  mixed with  $H-ZSM-5$  indicates that it is possible to produce  $Cu$ -ion exchanged  
 606  $ZSM-5$  from a mixture  $CuO$  and  $H-ZSM-5$  by heating the samples to  $550\text{ }^\circ C$  in a mixture of  $NO$ ,  
 607  $NH_3$ ,  $O_2$ ,  $H_2O$  and  $N_2$ . This corresponds to a solid state ion exchange (SSIE) process, in which the  
 608 proton is exchanged with  $Cu^{2+}$  driven by  $H_2O$  liberation and most likely facilitated by the  $H_2O$  as  
 609 well [59].



610  
 611 **Figure 11 Catalytic performance of reference materials. In a)  $NO$  conversion and  $N_2O$  production and in b)  $NH_3$  conversion**  
 612 **and  $NO_2$  production are shown. Reference materials were  $H-ZSM-5$  (squares), 20 wt.%  $CuAl_2O_4 + H-ZSM-5$  (circles), 20**  
 613 **wt.%  $CuO$  (triangles) and pure 8 mg  $CuO$  (diamonds). Line types and conditions were similar to those given in Figure 2.**

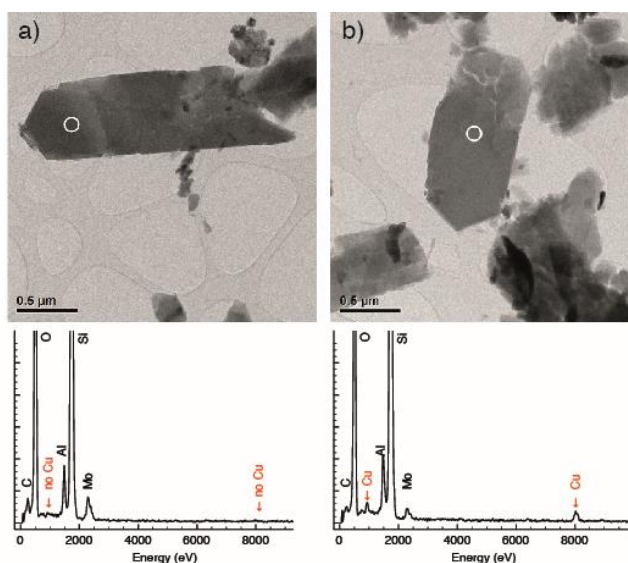
614 3.5 Solid State Ion Exchange Under Reaction Conditions

615

616 To further examine the SSIE process, suggested by the SCR performance of the physical mixture of  
617 CuO and H-ZSM-5, TEM microscopy was used in combination with EDS analysis.

618 The migration of copper ions from CuO particles and into ion exchange positions under typical  
619 SCR conditions is unambiguously confirmed by the comparison of the EDS spectra shown in  
620 Figure 12a and Figure 12b. EDS spectra were recorded on representative zeolite crystals in the  
621 physical mixture of CuO and H-ZSM-5 before and after being tested in the SCR reaction, see TEM  
622 micrographs in Figure 12a and Figure 12b respectively. Before being exposed to the SCR-reaction  
623 no detectable quantities of Cu could be observed in the zeolite crystals. However, after exposure to  
624 the SCR gas composition for one hour at 550 °C, the EDS spectrum clearly show the presence of  
625 Cu in the crystal as evidenced by the characteristic X-ray peaks for Cu K $\alpha$  and L $\alpha$  at 8.04 and 0.93  
626 keV. Additional evidence from TEM as well as SEM microscopy in combination with EDS  
627 measurements of the copper migration can be found in the Supplementary Data see Figure S2, S3,  
628 S4 and Table S1.

629



630

631 **Figure 12** Representative TEM images of zeolite crystals in the physical mixture of CuO and H-ZSM-5 a) before and b)  
632 after exposure to an SCR gas composition at 550 °C for one hour together with corresponding EDS spectra measured in the  
633 indicated area. Note that characteristic X-rays from Mo and C are also seen in the spectra due to the sample grid

634

635 As additional evidence for the Cu migration, the NO<sub>x</sub> conversion was also measured over the  
636 physical mixture of CuO and H-ZSM-5 before heating to 550 °C. The NO<sub>x</sub> conversion at 170 °C  
637 was then 1.0 - 1.3 %. After heating for one hour at 550 °C in the SCR gas feed, the NO<sub>x</sub> conversion

638 at 170 °C was 39.6 - 40.2 %, which agrees well with the data shown in Figure 11. This  
639 corroborates that copper ions migrate from the CuO phase to the ion-exchange positions in the  
640 zeolite matrix, and only this configuration leads to SCR activity.

641

#### 642 **4. Discussion**

643 The results obtained in this study allow us to comment on the Cu species inside the Cu-IM-5 and  
644 Cu-ZSM-5 and especially on the identity and possibility of dimer formation, which has been and is  
645 still being discussed extensively [30,17,55, 60,61,62]. For this reason the first paragraph will be  
646 devoted to the subject of speciation followed by discussion of the deactivation mechanism wherein  
647 an attempt to disentangle the contributions from dealumination and copper migration will be made.

648

649 The Cu-ZSM-5 and Cu-IM-5 materials used in this study have very similar Al contents (Si/Al=11).  
650 Rice et al. calculated for ZSM-5 with Si/Al=12 that the fraction of Al having another next nearest  
651 Al neighbor able to accommodate a  $M^{2+}$  ions was 0.12 and the fraction of Al able to accommodate  
652 dimeric species such as e.g.  $[Cu-O-Cu]^{2+}$  were 0.30 [63]. Thus, a large fraction of the copper must  
653 be counterbalanced by another ligand as it exists in the +2 state, as shown by our XANES results.  
654 The infrared spectroscopy results suggest a hydroxide group on the copper, as was also deduced by  
655 other authors on non-reduced Cu-zeolites [42,43].

656 The formation of dimers likely occurs by condensation of two  $[Cu-OH]^+$  species [54] and dimers  
657 may therefore be expected during dehydration in an oxidative atmosphere. This was also suggested  
658 from quantification of the EXAFS data on the fresh Cu-zeolites. Best quantitative fits with  
659 theoretical models yielded a fraction of 0.3-0.4 of the Cu having another copper in its close  
660 proximity at a distance between 2.93 and 2.98 Å. The fraction is in the range of the one suggested  
661 by Rice et al. [63] with a distance very similar to the one in bis( $\mu$ -oxo)dicopper species [60,61], but  
662 smaller than distances calculated for bent mono( $\mu$ -oxo)dicopper species in ZSM-5 [62]. Since the  
663 infrared measurements and XAFS data were recorded after a pretreatment at elevated temperatures  
664 in an oxidative atmosphere it can be assumed that species that can form dimers have formed dimers.  
665 For these reasons the amount of  $[Cu-OH]^+$  that we observe after the oxidative activation, used in the  
666 XAFS and FTIR investigations, may not be the same amount as will be present before the activation  
667 or under reaction conditions. After severe ageing at 750 °C, however, the remaining copper species  
668 in ion exchange positions, as suggested by NO-FTIR, appear to be  $[Cu-OH]^+$ , which do not have the  
669 possibility of forming dimers. Based on this observation we suggest, that the remaining most stable

670 species are isolated sites without the presence of next-nearest-neighboring sites. These species can  
671 be present initially or created when one site loses its neighboring site during hydrothermal ageing.

672

673 The catalytic results obtained in this study show that Cu-zeolites deactivate during hydrothermal  
674 treatments at 650 °C and even more severely at 750 °C in a comparable manner for Cu-ZSM-5 and  
675 Cu-IM-5, but to a different extent. This is explained by the small difference in Al-stability in the  
676 two frameworks. Interestingly, from the catalytic results we do also observe a stabilizing effect of  
677 the copper on the catalytic activity; at low copper loadings the deactivation is most severe, but at  
678 higher copper loadings the deactivation is less severe and less influenced by the framework. The  
679 decrease in activity is also in good agreement with the decrease in <sup>27</sup>Al-MAS-NMR signal.  
680 Moreover, when the acidity loss (as measured by NH<sub>3</sub>-capacity) of the parent material is compared  
681 to catalytic activities and NMR signal, the stabilizing effect of Cu on the aluminum stability  
682 becomes evident.

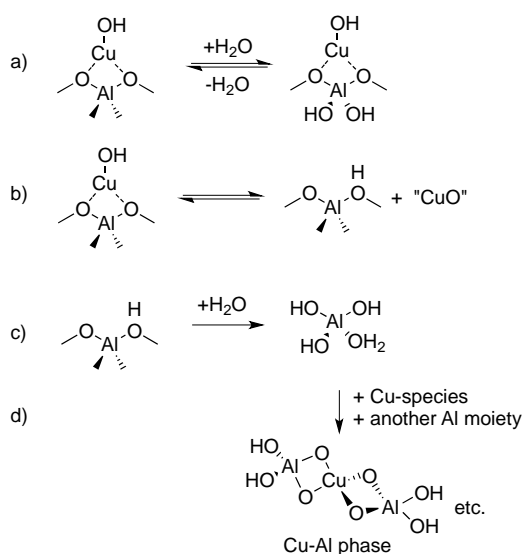
683

684 Observations on the decrease in <sup>27</sup>Al-MAS-NMR signal from tetrahedrally coordinated Al without  
685 the appearance of a signal from octahedrally coordinated Al after hydrothermal ageing of Cu-  
686 zeolites has been observed before [5,6,11,27]. There is however very little known about this phase  
687 except for comments on its similarity to CuAl<sub>2</sub>O<sub>4</sub> [9]. One of the characteristics of copper aluminate  
688 spinel is the stability of the copper and its tenacity towards reduction. Our H<sub>2</sub>-TPR results suggest  
689 that a phase with some similarity to CuAl<sub>2</sub>O<sub>4</sub> is formed.

690 Since the Brønsted acidity is lost upon severe ageing and no extra-framework aluminum is  
691 observed, neither by NMR nor in the OH-region of the infrared spectrum, this also confirms the  
692 presence of Al in the formed phase so this also points toward a phase containing both Cu and Al.  
693 In a copper aluminate spinel structure, Cu is located in a tetrahedral coordination with a high degree  
694 of symmetry. Because of the high degree of symmetry, no shoulder is present for this compound in  
695 the XANES absorption edge. A clear shoulder is however present for the Cu-zeolite samples  
696 hydrothermally aged at 750 °C after dehydration (see Figure 6), which is attributed to a loss of  
697 symmetry. This allows us to conclude, that the Cu-Al phase has similarities with the spinel  
698 structure; the EXAFS analysis also suggest a Al/Cu ratio of 2, but that differences exist as the  
699 symmetry is distorted. This could be an indication of very small clusters that would fit inside the  
700 micropores of the zeolites.

701

702 The performance of the reference compounds in the NH<sub>3</sub>-SCR reaction showed us that CuO alone is  
 703 not able to catalyze the SCR reaction. Instead it catalyzes the oxidation of NH<sub>3</sub> into NO<sub>x</sub> at higher  
 704 temperatures. When the CuO is mixed with the H-form zeolite it becomes active in the SCR  
 705 reaction and furthermore shows an identical performance to Cu-exchanged zeolites. To elaborate on  
 706 this observation we confirmed the migration of Cu from the CuO and into the H-ZSM-5 zeolite  
 707 under SCR reaction conditions by TEM/EDS. These two observations allow us to conclude that if  
 708 CuO is formed as a product of hydrothermal ageing, then the Cu will migrate back into ion-  
 709 exchange positions under reaction conditions, if they are still present. Therefore Cu migration can  
 710 be considered reversible. The practical consequence of the findings described above is that the  
 711 hydrothermal deactivation of Cu-zeolites depends on the susceptibility towards dealumination.  
 712 Based on the findings in this article we propose a general deactivation scheme for Cu-ZSM-5 and  
 713 Cu-IM-5 shown in Scheme 1. In this scheme we have written the ion-exchanged Cu as [Cu-OH]<sup>+</sup>  
 714 species. They can however also be associated with other [Cu-OH]<sup>+</sup> sites, and form dimers or reside  
 715 in the Cu<sup>2+</sup> form counterbalanced by two Al atoms in the zeolite framework.



718

719

720 **Scheme 1 Proposed overall hydrothermal deactivation mechanism in Cu-ZSM-5 and Cu-IM-5**

721

722 Reaction a) illustrates the partial dealumination of a framework Al associated with a Cu species.

723 This reaction is reversible under reaction conditions. The Cu ion in this constellation would have a

724 different reducibility in the H<sub>2</sub>-TPR experiment than ion exchanged copper similar to the final Cu-  
725 Al phase. Reaction b) illustrates copper migration into CuO or associated Cu species as observed in  
726 our infrared studies and should be considered reversible as suggested by our reference experiments  
727 of the NH<sub>3</sub>-SCR reaction over CuO. Reaction c) is suggested to be irreversible. Most likely first  
728 reaction a) occurs, and once the Al is detached from the framework, it can form a Cu-Al phase  
729 (reaction d). The results do however show that such a cluster or phase should be similar to CuAl<sub>2</sub>O<sub>4</sub>  
730 in terms of reducibility, but should contain a non-symmetrical coordination of oxygens.

731

732 The hydrothermal deactivation mechanism in Scheme 1 is different to the one proposed by  
733 Brandenberger et al. for Fe-zeolites [3], as the deactivation of Cu-zeolite depends on the stability of  
734 the zeolite framework, whereas for Fe-zeolites, deactivation depends on the stability of the iron  
735 species themselves. Because of the similarity between the formed Cu-Al species and copper  
736 aluminate we can discuss the difference between Cu- and Fe-zeolite hydrothermal deactivation in  
737 terms of the respective spinel and oxide stabilities. Phase equilibria of the Fe-Al-O [64] and Cu-Al-  
738 O [65] have earlier been studied and while the aluminate spinel with copper forms readily under  
739 hydrothermal ageing conditions in our study, iron aluminate does not form. For this reason only the  
740 oxide forms of iron is relevant and therefore the deactivation of Fe-zeolites is less influenced by the  
741 framework stability of the zeolite as compared to their Cu-equivalents.

742

## 743 **5. Conclusion**

744 While Cu-zeolites are becoming widely applied in industry, their use is still limited by the  
745 hydrothermal stability. Although several advancements have been made, the mechanism of  
746 hydrothermal deactivation is poorly understood. The comparison of Cu-IM-5 and Cu-ZSM-5, with  
747 very similar fresh activities, allowed us to study the contribution of framework stability on the  
748 hydrothermal deactivation behavior. Upon hydrothermal ageing the higher framework stability of  
749 IM-5 resulted in a better preservation of the catalytic performance. Simultaneously we also  
750 observed a stabilizing effect of Cu<sup>2+</sup> on framework Al-sites as compared to the protonic sites.  
751 After ageing at intermediate temperatures we observed evidence for partial dealumination and  
752 migration of Cu<sup>2+</sup> ions into a more associated state, which we have ascribed to a reversible process  
753 under SCR conditions. The formation of CuO was also found to be a reversible process. This was  
754 confirmed by testing physical mixtures of zeolite with copper-containing phases including CuO in

755 the SCR reaction and by using TEM/EDS to study the migration of copper ions before and after  
756 exposure of CuO and zeolite to SCR conditions.

757 From characterization of the Cu-zeolites we showed that Cu is present in the +2 state before and  
758 after ageing. During ageing Cu starts to interact strongly with Al leading to a decrease in the <sup>27</sup>Al-  
759 MAS-NMR signal from tetrahedrally coordinated Al. This was assigned to an irreversible formation  
760 of a Cu-Al phase as confirmed by XAFS that also showed a tetragonal distortion of the symmetry in  
761 the Cu coordination. CO could be adsorbed on such Cu-Al particles and monitored as a band at  
762 2185 cm<sup>-1</sup> corresponding to an interaction with Al<sup>3+</sup> situated in this specific environment. A  
763 similarity of the formed Cu-Al phase with CuAl<sub>2</sub>O<sub>4</sub>, despite the distorted symmetry, was indicated  
764 by the similar behavior in H<sub>2</sub>-TPR experiments.

765 Based on the findings we propose a deactivation scheme for Cu-IM-5 and Cu-ZSM-5 as shown in  
766 Scheme 1, where the framework stability dictates the overall stability. This finding is different from  
767 the mechanism proposed for Fe-zeolites, which can be understood in terms of the ability to form  
768 aluminate spinel structures.

769  
770

## 771 **Acknowledgements**

772 The authors thank Fernando Morales for introduction to the EXAFS software and Kenneth  
773 Malmstrøm Larsen, Robin Christensen and Katarina Norén for their assistance during the  
774 experiments at the synchrotron facilities. We also gratefully acknowledge DANSCATT for their  
775 financial support, and HasyLab and MAXlab for offering beamtime at the RÖMO II and I811  
776 beamlines, respectively. Finally we thank the Danish Ministry of Science, Innovation and Higher  
777 Education for support through the Industrial PhD programme.



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